

1. Introduction

In terms of the General Code of Conduct of the Financial Advisory and Intermediary Services Act No. 37 of 2002 (FAIS), Prescient Securities (Pty) Ltd, (Registration number 1999/008636/07), is required to disclose the information in this document to you. You should therefore read through the document carefully to ensure you understand the contents hereof. If there is anything in this document that you do not understand, please request further information from us. You are entitled to a copy of this document for your own records.

2. Authorised Financial Services Provider

Prescient Securities (Pty) Ltd is an authorised financial services provider (FSP number 44074) in terms of Section 8 of FAIS. A copy of our license certificate is available on request.

Our contact details are:

Physical/Postal Address:	Block B, Silverwood, Silverwood Lane, Steenberg Office Park, Tokai
Telephone:	+27 21 700 3600
Email:	administration@prescient.co.za

3. Financial Services and Products

Prescient Securities (Pty) Ltd is authorised to render advisory, intermediary and discretionary financial services in respect of the following categories and financial products:

CATEGORY DESCRIPTION	ADVICE	INTERMEDIARY
CATEGORY I		
Long-Term Insurance: Category B1	X	X
Long-Term Insurance: Category B2	X	X
Long-Term Insurance: Category B2 -A	X	X
Long-Term Insurance: Category B1 - A	X	X
Long-Term Insurance: Category C	X	X
Retail Pension Benefits	X	X
Pension Funds Benefits	X	X
Shares	X	X
Money market instruments	X	X
Debentures and securitised debt	X	X
Warrants, certificates and other instruments	X	X
Bonds	X	X
Derivative instruments	X	X
Participatory interests in Collective Investment Schemes	X	X
Long – term Deposits	X	X
Short – term Deposits	X	X

CATEGORY DESCRIPTION	INTERMEDIARY
CATEGORY II	
Long-Term Insurance: Category B1	X
Long-Term Insurance: Category B1 - A	X
Long-Term Insurance: Category C	X
Retail Pension Benefits	X
Pension Funds Benefits	X
Shares	X
Money market instruments	X
Debentures and securitised debt	X
Warrants, certificates and other instruments	X
Bonds	X
Derivative instruments	X
Participatory interests in Collective Investment Schemes	X

4. Authorised Key Individuals

Key Individual: Anthea Koral

Details of Prescient Securities (Pty) Ltd Authorised Representatives are available on the Financial Sector Conduct Authority website (under the FAIS section) at <http://www.fsca.co.za>

5. Approved Product Suppliers

Details available on request.

6. Conflict of Interest Management Policy

Prescient Securities (Pty) Ltd has adopted and implemented a conflict of interest management policy that complies with the provisions of FAIS. The conflict of interest management policy can be obtained from compliance@prescient.co.za.

7. Indemnity Cover

Prescient Securities (Pty) Ltd holds Professional Indemnity and Fidelity cover.

8. Financial Intelligence Centre Act 38 of 2001 (FICA)

In terms of FICA, Prescient Securities (Pty) Ltd is an accountable institution. We are required to identify our prospective clients, verify the given information and keep records of the verifying documents. We are also obliged to report suspicious and unusual transactions that may facilitate money laundering to the authorities.

9. Complaints

Should you wish to pursue a complaint against a key individual and/or representative of Prescient Securities (Pty) Ltd, you should address the complaint in writing by email to complaints@prescient.co.za or post for attention of the Compliance Officer at the registered address.

If you are not happy with the way in which your complaint has been handled or have not received a response within 6 weeks, you are entitled to refer your complaint to the Office of the Ombud for Financial Services Providers (referred to as the "FAIS Ombud").

Telephone:	+27 12 762 5000 / +27 12 470 9080
Facsimile:	+27 86 764 1422 / +27 12 348 3447
Email:	info@faisombud.co.za
Website:	www.faisombud.co.za

The Ombud has been created to provide you with a redress mechanism for any inappropriate financial advice that you feel may have been given to you by a financial services provider.

10. Compliance Officer

Nazley Herandien and Anthea Koral are the appointed compliance officer of Prescient Securities (Pty) Ltd.

11. Disclaimer

You should note that there are risks involved in buying or selling any financial product, and past performance of a financial product is not necessarily indicative of the future performance. The past performance is relevant to the financial services being rendered. The value of financial products can increase as well as decrease over time, depending on the value of the underlying securities and market conditions.